For Internal Use Submit 1 Original OMB APPROVAL Only and 9 Copies Sec File No. 9-OMB Number: 3235-0504 Expires: August 31, 2019 RECEIVED **UNITED STATES** Estimated average burden SECURITIES AND EXCHANGE COMMISSION
2019 JAN -3 PM 3: 10 Washington D.C. 20540 hours per response.....3.60 THE PROPESSING Received SEC / TM FORM 19b-4(e) JAN 0.3 2018 Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: The NASDAQ Stock Market LLC Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Open End Management Investment Company** 3. Class of New Derivative Securities Product: **Exchange Traded Fund** 4. Name of Underlying Instrument: **Dorsey Wright Country and Stock Momentum Index** 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Broad-Based** 6. Ticker Symbol(s) of New Derivative Securities Product: **DWCR** 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Athens Exchange S.A., Stock Exchange of Thailand, Euronext Brussels, Nasdag Helsinki, Korea Exchange. National Stock Exchange Of India, New Zealand Exchange, Six Swiss Exchange, Taiwan Stock Exchange, Warsaw Stock Exchange, Wiener Boerse AG 8. Settlement Methodology of New Derivatives Product: Regular way trades settle on T + 2 (cash settled) 9. Position Limits of New Derivative Securities Product (if applicable): N/A Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: **Arnold Golub** Title: Vice President Telephone Number: 1-301-978-8075 Manual Signature of Official Responsible for Form: Date: December 29, 2017 Act Securities Exchange Act of 1934

Section
Rule
Public
Availability:

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